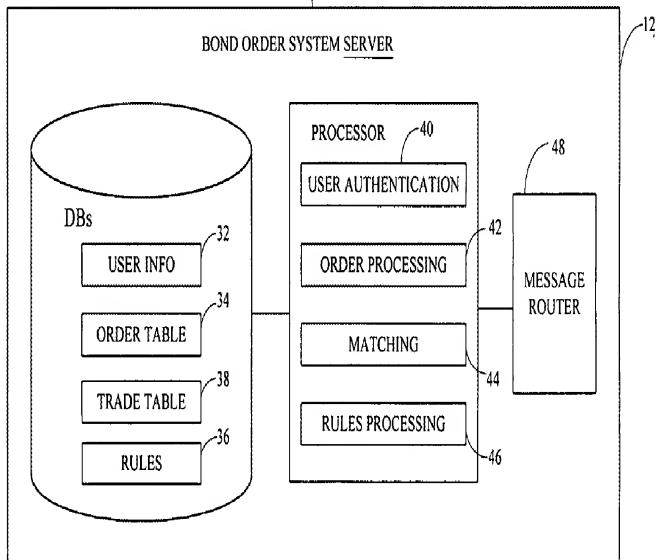
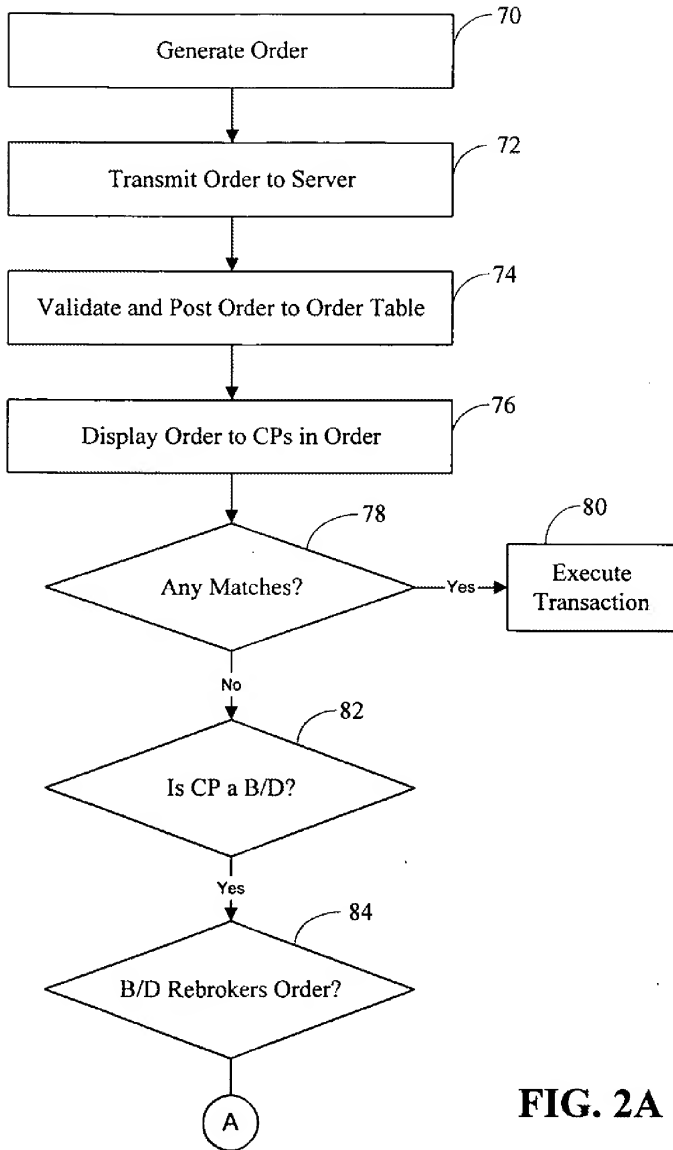
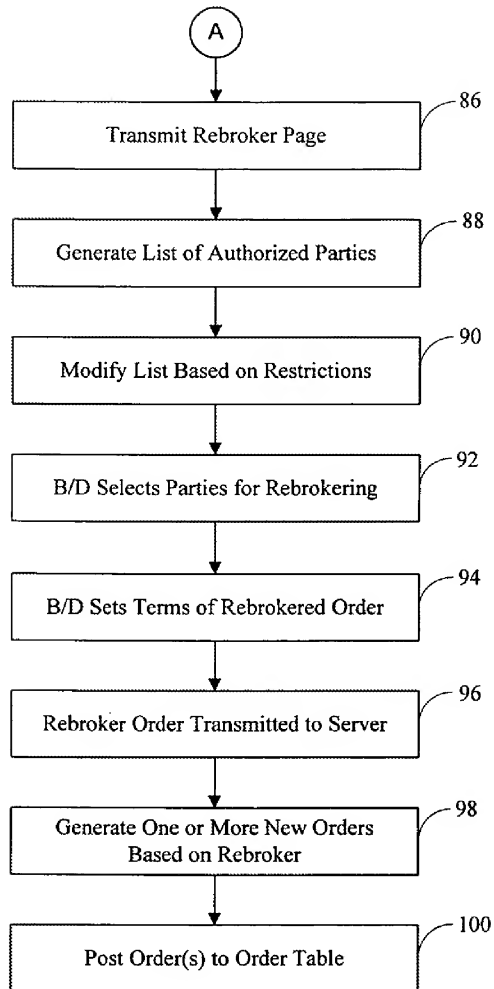
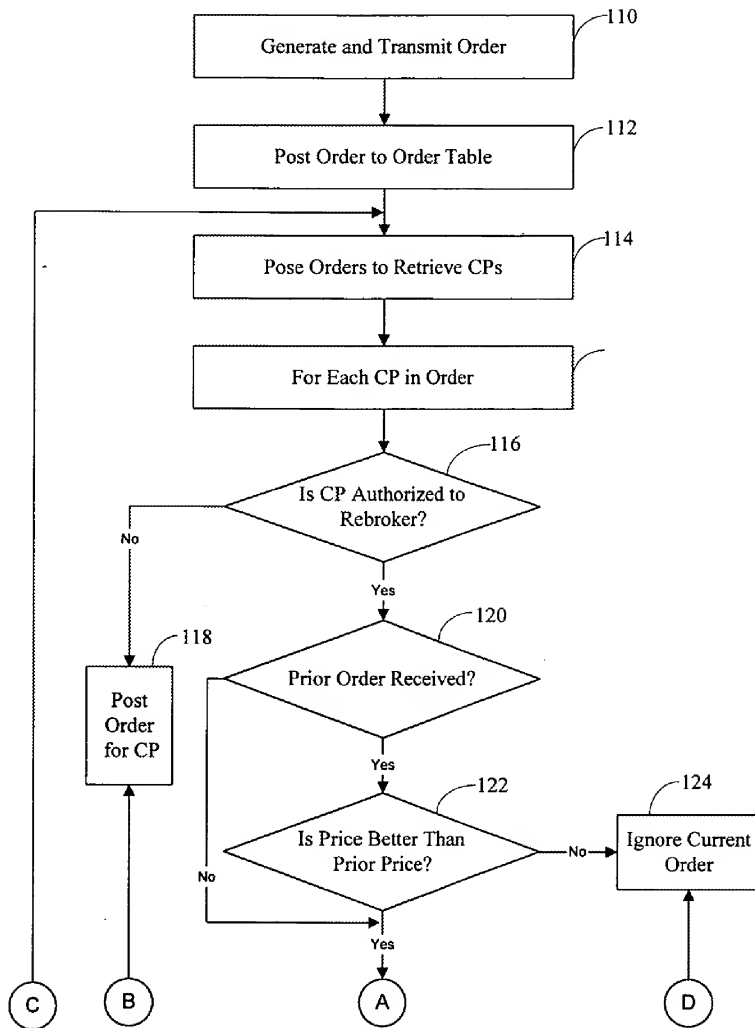


FIG. 1



**FIG. 2A**

**FIG. 2B**

**FIG. 3A**

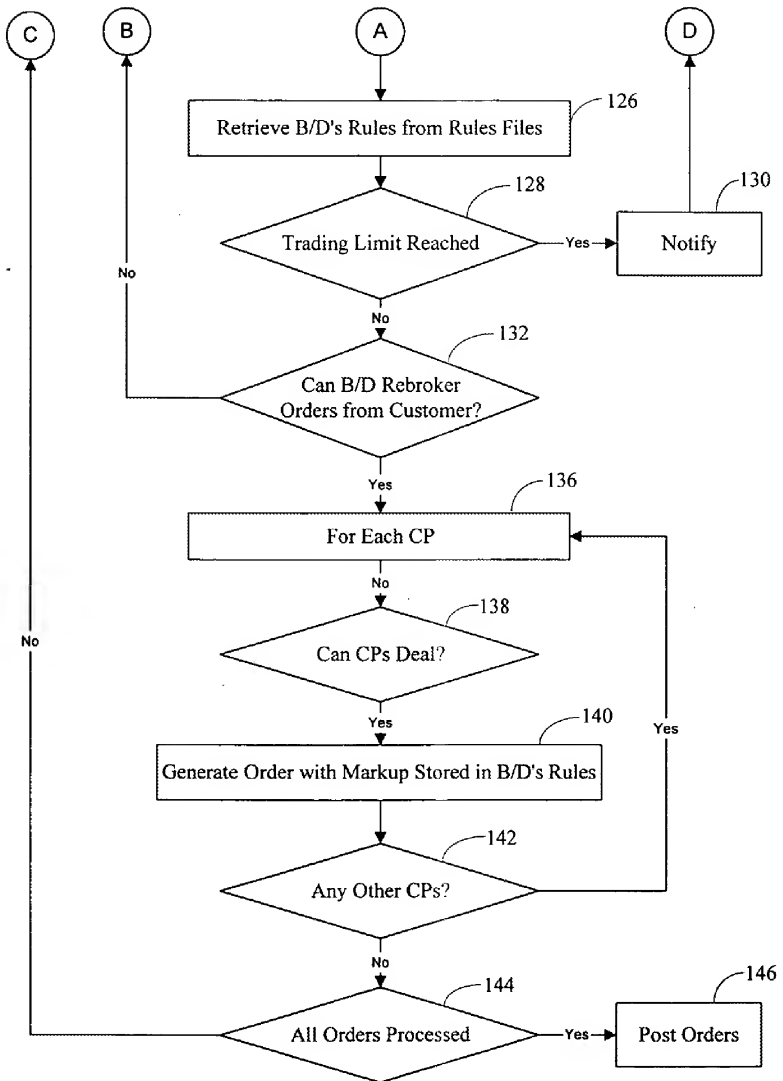
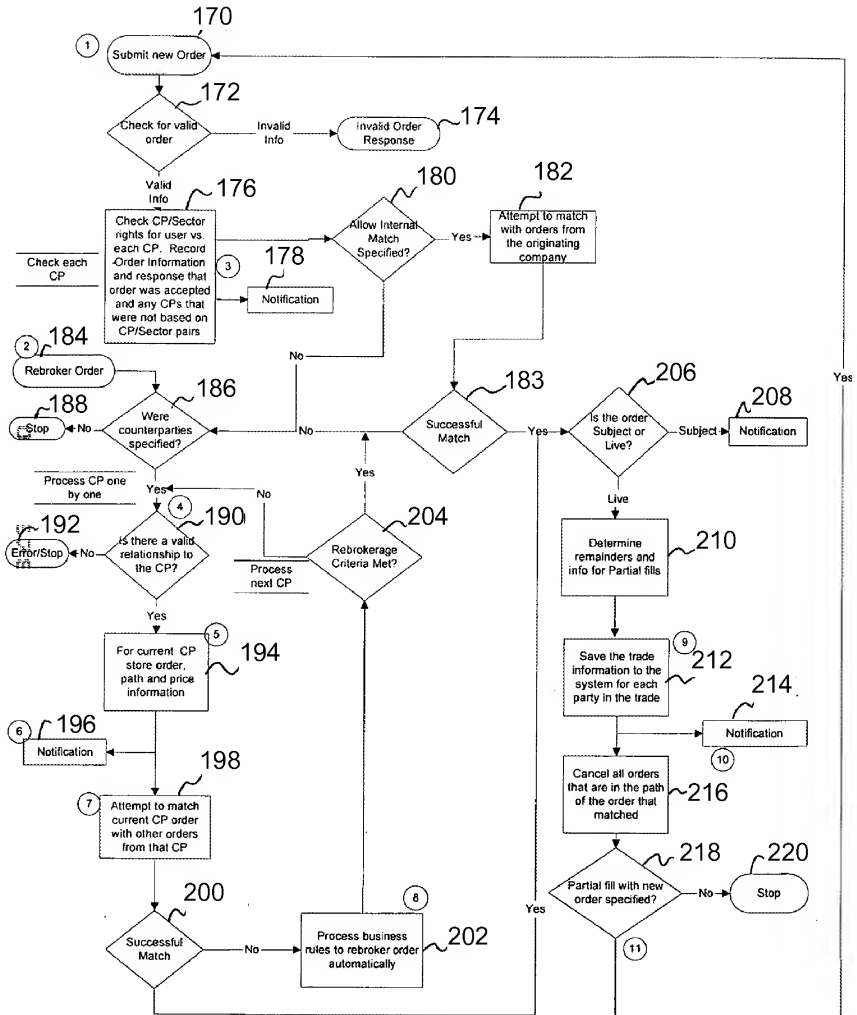


FIG. 3B

FIG. 4



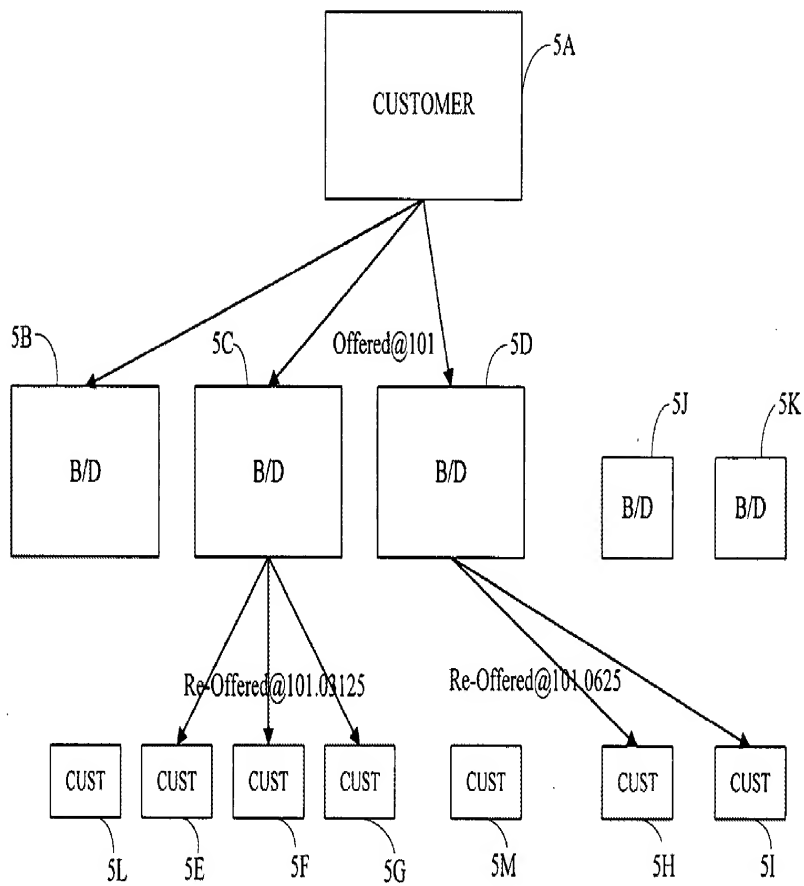


FIG. 5

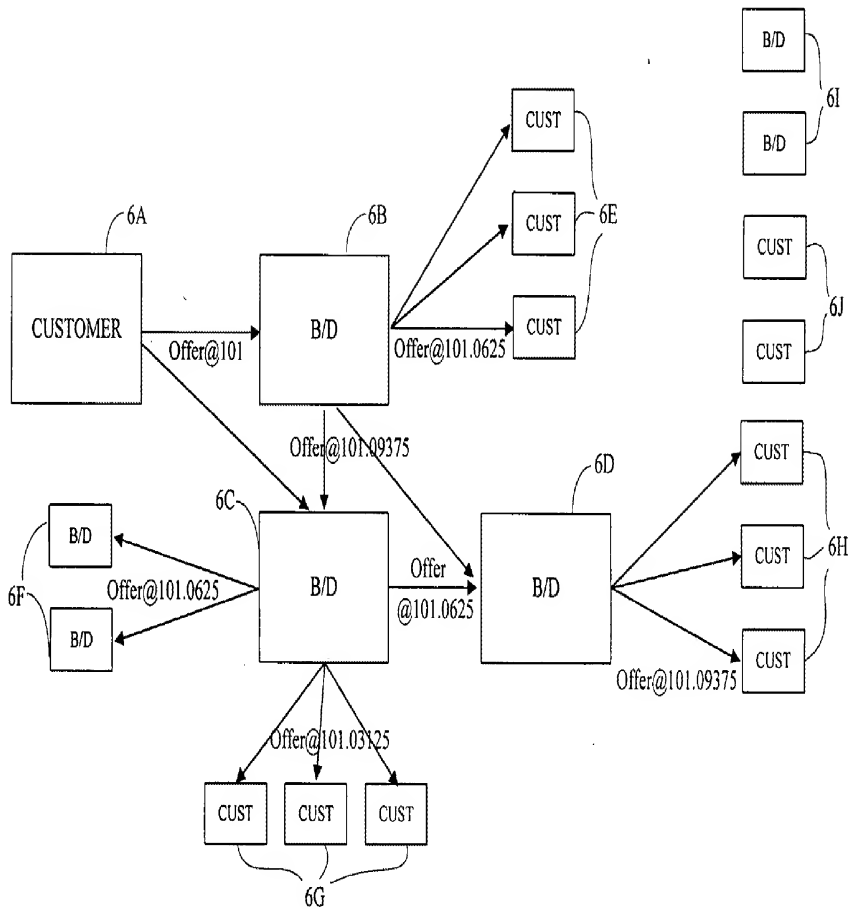


FIG. 6

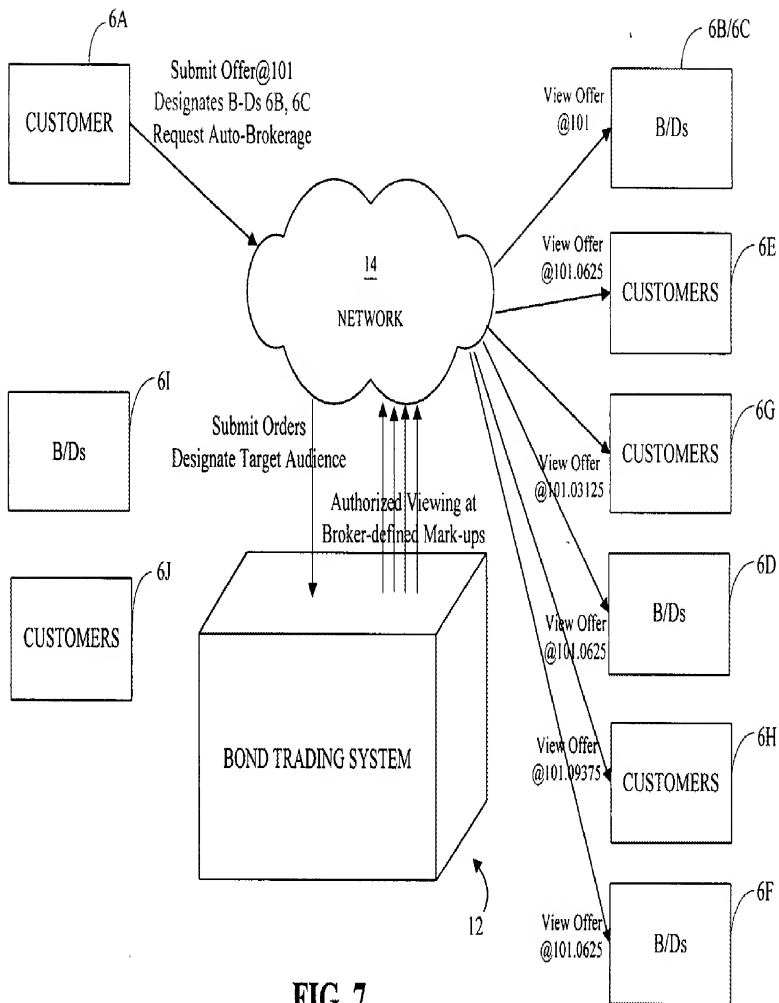


FIG. 7

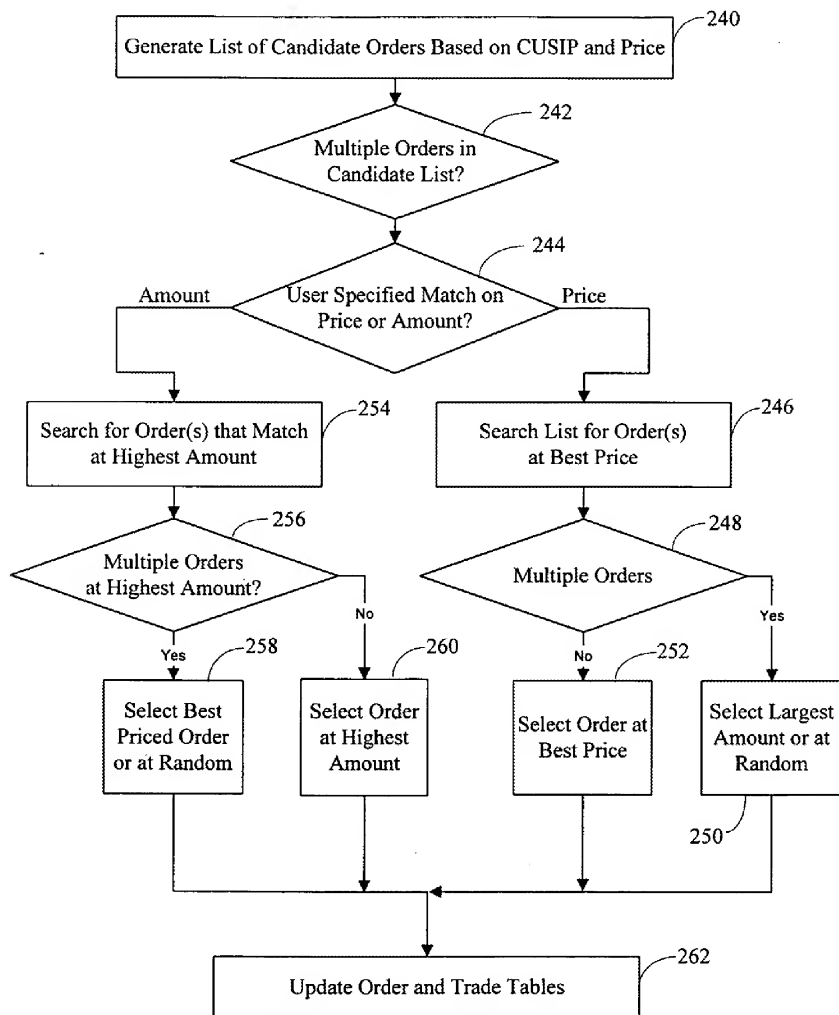

**FIG. 8**

FIG. 9


Connect ... Expand ... Trade ...

- Log Out
- Account Mgmt
- Notifications
- Submit Order
- Fund Security
- Submitted Orders
- Order Views

Submit Order Form

Thursday, JUNE 22

Cusip:

Load Cusip Data

Issuer

Issue Description

Coupon

Maturity Date

Settlement Date: 6/25/2000 (mm/dd/yyyy)

Initial Order Price: 100

Order Type:

☐ Live Bid
 ☐ Subject Bid
 ☐ Other Market

☐ Live Offer
 ☐ Subject Offer
 ☐ Bid Wanted

Time to go Subject: 0 Minute(s) from now

Displayed Amount: 100

Min: 0

Max: 100000

Tab: 0

Partial Fill: None

Adjust price with Treasury:

Choose a Broker Dealer, and then choose the appropriate action

Available Broker Dealers

Investment Advisors

IA 2

Actions

Private

Brokerage

Remove

Send Order To

326

Submit

Reset

*** Currently under development

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FIG. 10

Connect ... Expand ... Trade ...

Outstanding Active Orders
Monday, JUNE 19

There is a listing of the orders that I have placed that have not been filled. Click the Order Number to see the order details, or click the Cusip number to see the bond details. You can also edit or cancel an order form here as well.

- Log Out
- Account Mgmt
- Notifications
- Submit Order
- Find Security
- Submitted Orders
 - Principal Orders
 - Brokered Orders
 - Filled Orders
 - Inactive Orders
- Order Views

Bids


Order Number	Cusip	Price Amount	Settlement Date	Issuer	Issue Desc.	Coupon Maturity	Order Type	Edit	Cancel
61	000344AB	100 100	9/1/2000	NAVSTAR FINL OWNER TR	1999-A ASSET BND NT CL A3	0 1/8 1/10	LiveBid	✓	X
63	000344AB	100 100	9/1/2000	NAVSTAR FINL OWNER TR	1999-A ASSET BND NT CL A3	0 1/8 1/10	LiveBid	✓	X
64	000344AB	100 100	9/1/2000	NAVSTAR FINL OWNER TR	1999-A ASSET BND NT CL A3	0 1/8 1/10	LiveBid	✓	X
67	000344AB	100 100	9/1/2000	NAVSTAR FINL OWNER TR	1999-A ASSET BND NT CL A3	0 1/8 1/10	LiveBid	✓	X
68	000617AB	100 100000	9/1/2000	NAVSTAR FINL DEALER NT MASTER	SER 1997-1 ASSET BACKED CFF	0 1/8 1/10	LiveBid	✓	X
69	000344AB	100 100	9/1/2000	NAVSTAR FINL OWNER TR	1997-B ASSET BACKED NT CL A4	0 005 1/15/2004	LiveBid	✓	X
71	000344AB	100 100	9/1/2000	NAVSTAR FINL OWNER TR	1997-B ASSET BACKED NT CL A4	0 005 1/15/2004	LiveBid	✓	X
74	000344AB	100 100	9/1/2000	NAVSTAR FINL OWNER TR	1997-B ASSET BACKED NT CL A4	0 005 1/15/2004	LiveBid	✓	X
80	000344AB	100 100	9/1/2000	NAVSTAR FINL OWNER TR	1997-B ASSET BACKED NT CL A4	0 005 1/15/2004	LiveBid	✓	X

Offers

Order Number	Cusip	Price Amount	Settlement Date	Issuer	Issue Desc.	Coupon Maturity	Order Type	Edit	Cancel
No Offers Found									

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FIG. 11


Connect ... Expand ... Trade ...

- Log Out
- Account Mgmt
- Notifications
- Submit Order
- Find Security
- Submitted Orders
 - Principle Orders
 - Brokered Orders
 - Filled Orders
 - Inactive Orders
- Order Views

Brokered Orders

Thursday, JUNE 22

*Here is a listing of the orders that have been rebrokered from me to one of my counter parties.
 Click the Order Number to see the order details, or click the Cusip number to see the bond details. You can also add or cancel an order from here as well *

Bids

Order Number	Cusip	Price	Amount	Settlement Date	Issuer	Issue Desc.	Coupon	Maturity	Order Type	Cancel
241	051313AF	77	45	6/22/2001	TOYOTA MOTOR CREDIT CORP	2001 6% 45M BACD 2001 C.C.A.	4%	6/28/2002	Limit	X

Offers

Order Number	Cusip	Price	Amount	Settlement Date	Issuer	Issue Desc.	Coupon	Maturity	Order Type	Cancel
No Offers Found										

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FIG. 12

XOS[®]

Connect ... Expand ... Trade ...

- Log Out
- Account Mgmt
- Notifications
- Submit Order
- Find Security
- Submitted Orders

- Principal Orders
- BiMarket Orders
- Firm Orders
- Incident Orders
- Order Views

Filled Orders

Thursday, JUNE 22

Here is a listing of the orders that I have placed that have been filled. Click the Order Number to see the order details, or click the Cusip number to see the bond details.*

Bought									
Cusip	Company	Price	Amount	Settlement Date	Issuer	Issue Desc.	Coupon	Maturity	Trade Type
740468D01	1A2	98	77	6/22/2030	PRIMER AUTO TR	1999-A ASSET BACKED NT CL A4	0	10/1/2030	Bought
6452V4C5	1A2	98	44	6/22/2030	NEW KENTY HOME EQUITY LN TR	97-NCS ASSET BKT PASTHRU CTF	0.251	10/1/2022	Bought
950802A8	1A2	98	38	6/22/2030	MMCA AUTO OWNER TR	1999-A ASSET BKT NT CL B	0.275	10/1/2029	Bought
6452V4C5	1A2	42.5	44	6/22/2030	NEW KENTY HOME EQUITY LN TR	97-NCS ASSET BKT PASTHRU CTF	0.251	10/1/2022	Bought
8258A44H	1A2	25	44	6/22/2030	BANK ONE AUTO GRANTOR TR	1997-B ASSET BACKED CTF CL B	0	10/1/2024	Bought
81224C6G5	Investment Advisor 1	207	46	6/22/2030	SEARS CR ACCOUNT MASTER TR II	SER 1999-1 CTF CL A	0.295	10/1/2035	Bought
82218AF1	1A2	76	55	6/22/2030	TOYOTA AUTO RECEIVABLES	1997-A ASSET BACKED CTF CL A	0	10/1/2025	Bought
82218AF1	1A2	74.5	55	6/22/2030	TOYOTA AUTO RECEIVABLES	1997-A ASSET BACKED CTF CL A	0	10/1/2025	Bought
Sold									
Cusip	Company	Price	Amount	Settlement Date	Issuer	Issue Desc.	Coupon	Maturity	Trade Type
950802A8	Investment Advisor 1	95	38	6/22/2030	NATIONBANK AUTO OWNER TR	1999-A ASSET BACKED CTF CL B-1	0.275	10/1/2029	Sold
950802A8	Investment Advisor 1	92.5	40	6/22/2030	MMCA AUTO OWNER TR	1999-A ASSET BKT NT CL B	0.275	10/1/2029	Sold
6452V4C5	Investment Advisor 1	95	44	6/22/2030	NEW KENTY HOME EQUITY LN TR	97-NCS ASSET BKT PASTHRU CTF	0.251	10/1/2022	Sold
740468D01	1A2	13	13	6/22/2030	PRIMER AUTO TR	1999-A ASSET BACKED NT CL A4	0	10/1/2030	Sold
48815A4B	1A2	102	102	6/22/2030	JCP MASTER CR CARD TR	ASSET BACKED CTF SER B	0.198	10/1/2030	Sold
81224C6G5	1A2	209	46	6/22/2030	SEARS CR ACCOUNT MASTER TR II	SER 1999-1 CTF CL A	0.295	10/1/2035	Sold
48815A4B	1A2	75	99	6/22/2030	JCP MASTER CR CARD TR	ASSET BACKED CTF SER B	0.198	10/1/2030	Sold
82218AF1	Investment Advisor 1	77	55	6/22/2030	TOYOTA AUTO RECEIVABLES	1997-A ASSET BACKED CTF CL A	0	10/1/2025	Sold
48815A4B	1A2	100	100	6/22/2030	KEY AUTO FIN TR	1997-B ASSET BACKED NT CL C	0.295	10/1/2030	Sold
48815A4B	1A2	84	100	6/22/2030	KEY AUTO FIN TR	1997-B ASSET BACKED NT CL C	0.295	10/1/2030	Sold

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FIG. 13

X-OS™ *Connect ... Expand ... Trade ...*

Live Orders Monday, JUNE 19

There is a listing of all the orders that are viewable.
Click the Order Number to see the order details, or click the Cusip number to see the bond details.
To rebroker the order, select the rebroker button.

Bids

Order Number	Cusip	Price	Amount	Settlement Date	Issuer	Issue Desc.	Coupon	Maturity	Order Type	Trade Counter	Rebroker
91	030344W02	100	100	6/16/2001	NAVSTAR FINL OWNER TR	1999-A ASSET BCKD NT CL A-3	0	1/1/01	LiveBid		X
83	030344W02	100	100	6/16/2001	NAVSTAR FINL OWNER TR	1999-A ASSET BCKD NT CL A-3	0	1/1/01	LiveBid		X
85	030344W02	100	100	6/16/2001	NAVSTAR FINL OWNER TR	1999-A ASSET BCKD NT CL A-3	0	1/1/01	LiveBid		
87	030344W02	100	100	6/16/2001	NAVSTAR FINL OWNER TR	1999-A ASSET BCKD NT CL A-3	0	1/1/01	LiveBid		
104	030374B01	100	100	6/16/2001	NAVSTAR FINL DEALER NT MASTER	SER 1997-1 ASSET BACKED CTF	0	6/16/2004	LiveBid		
99	030344W01	100	100	6/16/2001	NAVSTAR FINL OWNER TR	1997-8 ASSET BACKED NT CL A-4	0.000	3/16/2004	LiveBid		X
71	030344W01	100	100	6/16/2001	NAVSTAR FINL OWNER TR	1997-8 ASSET BACKED NT CL A-4	0.000	3/16/2004	LiveBid		
74	030344W01	100	100	6/16/2001	NAVSTAR FINL OWNER TR	1997-8 ASSET BACKED NT CL A-4	0.000	3/16/2004	LiveBid		
81	030344W01	100	100	6/16/2001	NAVSTAR FINL OWNER TR	1997-8 ASSET BACKED NT CL A-4	0.000	3/16/2004	LiveBid		X
80	090370A00	100	100	6/16/2001	NORWEST ASSET ACCEP CORP	SER 1996-HET ASSET BACKED CTF	0	1/1/01	LiveBid		

Offers

Order Number	Cusip	Price	Amount	Settlement Date	Issuer	Issue Desc.	Coupon	Maturity	Order Type	Trade Counter	Rebroker
No Offers Found											

Order Views

- Live
- Request Rebroke
- Request Counter
- By Sector
- By Counter Party
- Query

330

332

FIG. 14

Cusip:	233832AC8	Issuer	Issue Description	Coupon	Maturity Date
Settlement Date:	6/23/2000	(mm/dd/yyyy)			
Initial Order Price (\$):	100				
Order Type:	LiveBid				
Displayed Amount:	10				
Counter Party:	FU				
Mark Up:		~ 350			
Choose a Broker Dealer, and then choose the appropriate action.					
Available Broker Dealers	Actions	Send Order To			
<div> <div>Lehman Customer 1</div> <div>Lehman Customer 2</div> <div>Broker Dealer</div> <div>Customer 1</div> </div>	<div>Add</div> <div>Remove</div>	<div></div> <div>~ 354</div>			

FIG. 15

Microsoft Internet Explorer

File Edit View Favorites Tools Help

Back Forward Stop Search Favorites History

Address http://nas001.industry

Connect... Expand... Trade ...

Change Order to Subject Cancel All Orders

Home Orders Submit Order Activity Messages Find Act/Market Admins Help Log off

Thursday, November 2, 10:57 PM

Market Activity

10:28:29 Submitted Order on 10/27 at 11:51:32 Submitted Order on 10/27 at 11:30:23 Order Received on 10/27 at 11:45:28 Order Received on 10/27 at 10:29:17

Cusip Listing


Corp	Issuer	Live Order	Subject Order				
		# Bid # Offer Best Bid # Offer Best Bid # Offer Best Bid	# Bid # Offer Best Bid				
+ / 000235107	A & F INVEST (COW) (01/01/2000)	55.000 2					
+ / 001128446	A & M ASSOCS VAR RATE TAXABLE (05/01/2007)	65.000 2	57.000 1				
Issuer: A & M ASSOCS Issuer Description: VAR RATE TAXABLE DEMAND NT DTD Maturity Date: 03/01/2017 Coupon: 0							
Action	Order Type	Price/Spread	Amount	Settlement	Sector	Counter Party	Order ID
	Live Bid	65	1,000,000	10/01/2000	U.S. Treasury	IN-2	12704465
	Live Bid	45	1,000,000	10/01/2000	U.S. Treasury	IN-2	10104465
	Subject Bid	67	1,000,000	10/01/2000	U.S. Treasury	IN-2	10104465
+ / 010714445	AMERON NYCE (7) (05/15/2007)						1 102,000
+ / 090300100	BIGWAY INC (COW) (01/01/2000)	1,000 1					
+ / 100025107	BORG ELECTRIC (COW) (01/01/2000)						
+ / 110007101	BOSTON CITY (COW) (01/01/2000)						
+ / 123007105	BURT WFO CO (COW) (01/01/2000)			777.000			1
+ / 124004048	BUTLER CHAMP (CTU) FROM NY CMC (01/01/2000)	1,000 1					
+ / 124004048	BUTLER WIS S (30) (1/01/2000)						1 100,000
+ / 126341802	CA FIRST BODS (INTEL) TAX EXEMPT (01/01/2000)	1,000 1					
+ / 204431810	COMPAQ COMPU (COW) (01/01/2000)			88.000			2 2 100,000
Issuer: COMPAQ COMPUTER CORP Issuer Description: COM Maturity Date: 01/01/2000 Coupon: 0							
Action	Order Type	Price/Spread	Amount	Settlement	Sector	Counter Party	Order ID
	Spread Offer		1,000,000	10/01/2000	Undetermined	310	12730A
	Spread Offer		1,000,000	10/01/2000	Undetermined	310	12704465
	Spread Bid		1,000,000	10/01/2000	Undetermined	310	12704465
	Subject Offer		999	1,000,000	10/01/2000	Undetermined	310
	Subject Offer		100	1,000,000	10/01/2000	Undetermined	310
	Subject Bid		88	1,000,000	10/01/2000	Undetermined	310
	Subject Bid		10	1,000,000	10/01/2000	Undetermined	310
	Market Offer		175	1,000,000	10/01/2000	Undetermined	310
	Market Bid		250	1,000,000	10/01/2000	Undetermined	310
+ / 240001007	DAYTON PAJT (4.25) (01/01/2004)	123.000 1					
+ / 554918104	MICROSOFT CO (COW) (01/01/2000)			2343.000			5 3 34,000
+ / 050810104	SUN MICROSYS (COW) (01/01/2000)			200.000			2 2 100,000
+ / 92325106	VERBATIM COR (COW) (01/01/2000)			100.000			2 2 100,000
+ / 934450445	WARNER LAMBE (6 TO 100 COW) (4.25) (01/01/2001)			100.000			1 1 100,000

Advan Tools

Trade Create Rebuild Order Detail Mail Send Page Previous Page

Log In

FIG. 16


Connect ... Expand ... Trade ...

Log Out

Account Mgmt

Calculator Portals

Granting

Create Company

Edit Company

Create Employee

Edit Employees

Notifications

Submit Order

Find Security

Submitted Orders

Order Views

Create Company

Monday, JUNE 19

Create Company Form Header

Create Company Form Header

Company Name

Company phone

Company E

Address

City

State

Zip

User Name

Password

Create This Company

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REPLACEMENT SHEET



FIG. 18

X-OS™

Connect ... Expand ... Trade ...

Create Employee

Monday, 3/26/18

● Log Out

● Account Agent

Counter Parties

Grouping

Create Company

Edit Company

Create Employee

Edit Employee

● Notifications

● Submit Order

● Fund Security

● Submitted Orders

● Order Views

Employee's First Name

Employee's Phone

Employee's Fax

Employee's Email

Type of Employee: Administrator

Alias Name

Password

Next

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FIG. 19

